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PATENT & TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*	ATTY. DOCKET NO. 10714-003	APPLICATION NO. 09/846,891
	APPLICANT Charbonneau et al.	
	FILING DATE May 1, 2001	GROUP 2122

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
9u.W.	AQ	5,938,594	8/17/1999	Poon et al.			
	AR	5,761,386	6/2/1998	Lawrence et al.			
	AS	5,613,041	3/18/1997	Keeler et al.			
9u.W.	AT	5,479,573	12/26/1995	Keeler et al.			
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AZ							
	BA							
	BB							
	BC							
	BD							

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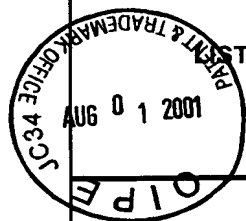|		
	BE	
	BF	
	BG	

EXAMINER	DATE CONSIDERED
	3/17/04

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		Charbonneau

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	AB	5,402,519	03-28-95	Inoue et al.			
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	AK	Collard, "Commodity Trading with a Two Year Old", Abstract, Martinggale Research Corp., Allen, Texas
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ૐ.૪	AP	Collard, "Commodity Trading with a Three Year Old", in Neural Networks in Finance and Investing, Trippi et al. ed., pp 589-597, 1996, Irwin Professional Publishing

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